

IFW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Title:

SYSTEM AND METHOD FOR

INDUCING HYPOTHERMIA WITH

CONTROL AND DETERMINATION OF

CATHETER PRESSURE

Serial No.: 10/785,394

Steve A. Yon, et al.

Examiner:

Not Yet Known

Filed: February 24, 2004

Group Art

3739

Unit:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

- 1. X Preliminary Statements
- 2. <u>X</u> FORM PTO 1449

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1. Preliminary Statements

Applicants cite herewith patents, publications or other information of which they are

aware, which they believe may be material to the examination of this application and in respect

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The filing of this information disclosure statement shall not be construed as a

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No fee is believed to be due in connection with this submission as this Information

Disclosure Statement is being filed within three (3) months of the application filing date or

before receipt of the First Office Action.

However, if any fees are required in connection with this paper, authorization is hereby

given to the Commissioner for Patents to charge same to Deposit Account No. 50-0914.

Date: Mr. 22, 2009

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Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

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INFORMATION DESCLOSURES
STATEMENT BY APPLICANT

(use as many sheets as necessary)

1

Complete if Known

Application Number 10/785,394

Filing Date February 24, 2004

First Named Inventor Yon

Group Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number 137001

U.S. PATENT DOCUMENTS						
		U.S. Patent Document				
Examiner Initials'	Cite No.1	Number Kind Cod (if known)		Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passage or Relevant Figures Appear	
	AA	RE33,911	Samson et al.	05/05/1992		
	AB	Des 347,890	Eads	06/14/1994		
	AC	Des 348,101	Poli et al.	06/21/1994		
	AD	2,308,484	Auzin, et al.	01/19/1943		
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	Al	3,413,438	Gardner, et al.	11/26/1968		
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	ВС	4,581,017	Sahota	04/08/1986		
	BD	4,585,056	Oscarsson	04/29/1986		
	BE	4,593,258	Block	06/03/1986		
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	BI	4,707,587	Greenblatt	11/17/1987		
	BJ	4,731,072	Aid	03/15/1988		
	BK	5,733,319	Neilson et al.	03/31/1998		
	BL	4,739,492	Cochran	04/19/1988		

Examiner Date Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

12 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

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Cubatituta	for form 1449B/PTO		Complete if Known		
Substitute	101 101111 14496/210		Application Number	10/785,394	
INF	DRMATION D	ISCLOSURE	Filing Date	February 24, 2004	
STA	TEMENT BY	APPLICANT	First Named Inventor	Yon	
0.7		7 (1 L L 10 / 1. ()	Group Art Unit	Unknown	
(us	se as many sheets	s as necessary)	Examiner Name	Unknown	
Sheet	2	7	Attorney Docket Number	137001	

	T	U.S. Patent Document	U.S. PATENT DOCU	1110	
Examiner Initials'	Cite No.¹	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passage or Relevant Figures Appear
	ВМ	4,745,928	Webler, et al.	05/24/1988	
	BN	4,748,979	Hershenson	06/07/1988	
	ВО	4,750,493	Brader	06/14/1988	
	BP	4,762,129	Bonzel	08/09/1988	
	BQ	4,762,130	Fogarty, et al.	08/09/1988	
	BR	4,781,033	Steyert, et al.	11/01/1988	
	BS	4,789,000	Aslanian	12/06/1988	
	BT	4,796,640	Webler	01/10/1989	
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	BV	4,820,349	Saab	04/11/1989	
*	BW	4,860,744	Johnson, et al.	08/29/1989	
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	BZ	4,904,237	Janese	02/27/1990	
	CA	4,917,687	O'Boyle	04/17/1990	
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	CC	4,964,409	Tremulis	10/23/1999	
	CD	5,002,531	Bonzel	03/26/1991	
	CE	5,014,695	Benak, et al.	05/14/1991	
	CF	5,018,521	Campbell	05/28/1991	
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	СН	5,041,089	Mueller, et al.	08/20/1991	
	CI	5,078,713	Varney	01/07/1992	
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	CP	5,149,321	Klatz, et al.	09/22/1992	
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	cw	5,226,286	Mo	07/13/1993	
	CX	5,234,405	Klatz, et al.	08/10/1993	

Examiner Date Considered

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137001

Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449B/PTO **Application Number** 10/785,394 February 24, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Yon STATEMENT BY APPLICANT Group Art Unit Unknown Unknown (use as many sheets as necessary) **Examiner Name**

Attorney Docket Number

		U.S. Patent Document	-		
Examiner Initials'	Cite No.1	Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passage or Relevant Figures Appear
	CY	5,234,413	Wonder et al.	08/10/1993	
	CZ	5,241,951	Mason et al.	09/07/1993	
	DA	5,248,312	Langberg	09/28/1993	
•	DB	5,250,070	Parodi	10/05/1993	
	DC	5,264,260	Saab	11/23/1993	
	DD	5,269,369	Faghri	12/14/1993	
•	DE	5,269,749	Koturov	12/14/1993	
	DF	5,281,213	Milder et al.	01/25/1994	
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	DH	5,284,423	Holdsworth et al	02/08/1994	
-	DI	5,310,440	Zingher	05/10/1994	
	DJ	5,320,503	Davis	06/14/1994	
	DK	5,322,514	Steube et al.	06/21/1994	
	DL	5,322,515	Karas et al.	06/21/1994	
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-	DN	5,324,319	Mason et al.	06/28/1994	
	DO	5,326,165	Walthall et al.	07/05/1994	
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	DR	5,328,461	Utterberg	07/12/1994	
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	DZ	5,334,182	Simons et al.	08/02/1994	
	EA	5,334,188	Inoue et al.	08/02/1994	
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	EE	5,339,511	Bell	08/23/1994	
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	EG	5,342,181	Schock et al.	08/30/1994	
	EH	5,342,182	Montoya et al.	08/30/1994	
	EI	5,342,301	Saab	08/30/1994	
	EJ	5,342,346	Honda et al.	08/30/1994	

Examiner Signature Date Considered

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Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449B/PTO **Application Number** 10/785,394 February 24, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Yon STATEMENT BY APPLICANT Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown 137001 Attorney Docket Number Sheet 4

			U.S. PATENT DOC	UMENTS	
		U.S. Patent Docume	ent		
Examiner Initials'	Cite No.1	Number Kind ((if kno	Code ² Name of Patentee or Applicant of Cite Document	d Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passag or Relevant Figures Appear
	EK	5,342,347	Kikuchi et al.	08/30/1994	
	EL	5,343,734	Maeda et al.	09/06/1994	
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	EN	5,346,466	Yerlikaya et al.	09/13/1994	
	EO	5,352,213	Woodard	10/04/1994	
	EP	5,354,186	Murtuza et al.	10/11/1994	
	EQ	5,354,264	Bae et al.	10/11/1994	
	ER	5,354,272	Swendson et al.	10/11/1994	
	ES	5,364,364	Kasvikis, et al.	11/15/1994	
	ET	5,365,750	Greenthal	11/22/1994	
	EU	5,368,591	Lennox, et al.	11/29/1994	
	EV	5,383,918	Panetta	01/24/1995	
	EW	5,391,142	Sites, et al.	02/21/1995	
	EX	5,395,314	Klatz, et al.	03/07/1995	
	EY	5,417,686	Peterson, et al.	05/23/1995	
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	FD	5,466,131	Altham et al.	11/14/1995	
	FE	5,486,204	Clifton	01/23/1996	
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**	FG	5,529,067	Larsen, et al.	06/25/1996	
	FH	5,588,438	McKown et al	12/31/1996	
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Substitute for	form 1449B/P	ro	Complete if Known		
Substitute for	10(111 14496/F		Application Number	10/785,394	
INFO	RMATION	DISCLOSURE	Filing Date	February 24, 2004	
		Y APPLICANT	First Named Inventor	Yon	
			Group Art Unit	Unknown	
(use	as many she	ets as necessary)	Examiner Name	Unknown	
Sheet	5	7	Attorney Docket Number	137001	

			U.S. PATENT DOCU	MENTS	
-		U.S. Patent Documen			
Examiner Initials'	Cite No.1	Number Kind Co (if know		Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passage or Relevant Figures Appear
	FW	5,871,526	Gibbs et al	12/16/1999	
	FX	5,893,885	Webster, Jr.	04/13/1999	
	FY	5,913,885	Klatz et al	06/22/1999	
	FZ	5,913,886	Solomon	06/22/1999	
	GA	5,916,242	Schwartz	06/29/1999	
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	GH	6,194,899	Ishihara et al	02/27/2001	
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	GL	6,303,156	Ferrigno	10/16/2001	
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	GP	6,383,210	Magers et al.	05/07/2002	
	GQ	6,419,643	Shimada et al.	07/16/2002	1 11 11 11 11 11
	GR	6,451,011	Tu	09/17/2002	
	GS	6,458,150	Evans, et al.	10/01/2002	
	GT	6,533,804	Dobak III, et al	03/18/2003	
	GU	6,581,403	Whitebrook et al.	06/24/2003	
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	GW	6,620,188	Ginsburg et al.	09/16/2003	
	GX	6,635,076	Ginsburg et al.	10/21/2003	
	GY	6,648,906	Lasheras et al.	11/18/2003	-
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	НВ	6,726,708	Lasheras et al.	04/27/2004	
	нс	6,786,916	Noda et al.	09/07/2004	
	HD	20010007940	Tu, et al.	07/12/2001	
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_	HG	20010039440	Lasheras	11/08/2001	
	НН	20010049545	Lasersohn et al.	12/06/2001	

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Examiner	Date	
Signature	Considered	

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Cubatituta	for form 1449B/PTO		Compl	ete if Known
Substitute	101 101111 14496/F10		Application Number	10/785,394
INFO	DRMATION D	ISCLOSURE	Filing Date	February 24, 2004
		APPLICANT	First Named Inventor	Yon
0.7		7.1.7 (2.07.11.	Group Art Unit	Unknown
(us	se as many sheets	s as necessary)	Examiner Name	Unknown
Sheet	6	7	Attorney Docket Number	137001

				U.S. PATENT DOCU	MENTS	
		U.S. Patent Docu	ment			
Examiner Initials'	Cite No. ¹	Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
	HI	2002000467	5	Lasheras	01/10/2002	
	HJ	2002004585	2	Saab	04/18/2002	
	HK	2004003025	9	Dae et al.	02/12/2004	
	HL	2004006417	1	Briscoe et al.	04/01/2004	
	НМ	2004010282	6	Lasheras	05/27/2004	
	HN	2004016252	0	Noda, et al.	08/19/2004	
	НО	2004019922	9	Lasheras	10/07/2004	
	HP	2004019923	0	Yon, Steve et al.	10/07/2004	

				NIFOREIGN PATENT DO	CUMENTS		
Examiner Initials	Cite No.1	Foreign Patent Documer Office ³ Number ⁴ Kind Code if known)		Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	
	HQ	AU685559	B2	Avitall	01/22/1998		
	HR	CA2177982		Avitall	06/08/1995		
	HS	CN1082382	Α	Avitall	02/23/1994		
	HT	EP 0 428 505	B2	Bjäre et al.	03/14/2001		
	HU	EP 0 655 225	A1	Cordis Euroopa N.V.	05/31/1993		
	HV	EP 0 664 990		Heart Rhythm Technologies, Inc.	11/19/1997		
	HW	FR2447406		Commissariat L'Energie Atomique, France	03/06/1980		
	НХ	SU 806 029		Irkut Medical Inst.	02/23/1981		
	HY	WO 00/53246		Augustine Medical	09/14/2000		
	HZ	WO 01/87174	1	Atrionix	11/22/2001		
	IA	WO 02/19934	1	Atrionix	03/14/2002		
	IB	WO 93/04727		American Medical Systems	03/18/1993		
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	II	WO 99/66970		Del Mar Medical Technologies, Inc	12/29/1999		

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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Under the Paperwork Reduction Act of 1995, no persons are required to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449B/PTO 10/785,394 **Application Number** February 24, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Yon STATEMENT BY APPLICANT Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknown Attorney Docket Number 137001 Sheet 7

NIFOREIGN PATENT DOCUMENTS										
		Foreign Patent Document								
Examiner Initials'	Cite No.1	Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T _€				
	IJ	WO 99/66971	Del Mar Medical Technologies, Inc.	12/29/1999	·					
	IK	WO 04/075949	Innercool Therapies	09/10/2004		L'				

٥١		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	IL	Anon, Automatic Feedback Instrumentation for Hospital Room Utilizing Mircrosensors, IBM Technical 'Disclosure Bulletin, August, 1986, Vol. 29 (3):1320	
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Examiner Signature	Date Considered	
Signature		

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